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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Pascal J.A. Gauthereon, et al.

Appln. No.: 10/562,185

Filed: December 22, 2005

For: SYSTEM AND METHOD OF INVESTING FUNDS

Attorney Docket No: 10022-802

Examiner: TBA

Art Unit: TBA

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached are:

- ☒ Supplemental Preliminary Amendment (10 pages)
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

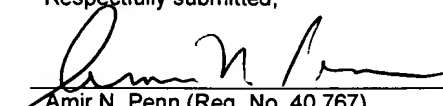
					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total	28	Minus	28	0	x \$25=			x \$50=	
Indep.	5	Minus	5	0	X100=			x \$200=	
First Presentation of Multiple Dep. Claim					+ \$180=			+ \$360=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

4/6/06  
Date

  
Amir N. Penn (Reg. No. 40,767)



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as U.S. Express mail No. EV 316040544 US in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
on April 6, 2006

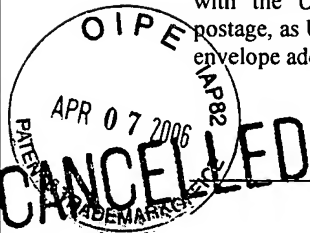
Date of Deposit

Amir N. Penn (40,767)

Name of applicant, assignee or  
Registered Representative

Signature

Date of Signature



Our Case No. 10022-802

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Pascal J. Gauthereon, et al.

Serial No. 10/562,185

Filing Date: December 22, 2005

For: SYSTEM AND METHOD OF  
INVESTING FUNDS

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) Examiner: TBA  
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) Group Art Unit No. TBA  
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## SUPPLEMENTAL PRELIMINARY AMENDMENT

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants file the enclosed Supplemental Preliminary Amendment as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks** begin on page 10 of this paper.